SECTION X: CORRECTION REQUEST

**Intent:** The purpose of Section X is to indicate whether an MDS record is a new record to be added to the QIES ASAP system or a request to modify or inactivate a record already present in the database. This information is provided in the first item in the section (X0100). If this is a new record, then all items in this section except the first item are skipped. If this is a request to modify or inactivate an existing record, then the other items in this section must be completed.

A modification request is used to correct a QIES ASAP record containing incorrect MDS item values due to:

- transcription errors,
- data entry errors,
- software product errors,
- item coding errors, and/or
- other error requiring modification

The modification request record contains correct values for all MDS items (not just the values previously in error), including the Section X items. The corrected record will replace the prior erroneous record in the QIES ASAP database.

In some cases, an incorrect MDS record requires a completely new assessment of the resident in addition to a modification request for that incorrect record. Please refer to Chapter 5 of this manual, Submission and Correction of the MDS Assessments, to determine if a new assessment is required in addition to a modification request.

An inactivation request is used to move an existing record in the QIES ASAP database from the active file to an archive (history file) so that it will not be used for reporting purposes. Inactivations should be used when the event did not occur (e.g., a discharge was submitted when the resident was not discharged). The inactivation request only includes the Section X items. All other MDS sections are skipped.

The modification and inactivation processes are automated and neither completely removes the prior erroneous record from the QIES ASAP database. The erroneous record is archived in a history file. In certain cases, it is necessary to delete a record and not retain any information about the record in the QIES ASAP database. This requires a request from the facility’s state agency to manually delete all traces of a record from the QIES ASAP database. The policy and procedures for a Manual Correction/Deletion Request are provided in Chapter 5 of this manual.

A Manual Deletion Request is required only in the following three cases:

1. **Item A0410 Submission Requirement is incorrect.** Submission of MDS assessment records to the QIES ASAP system constitutes a release of private information and must conform to privacy laws. Only records required by the State and/or the Federal governments may be stored in the QIES ASAP database. If a record has been submitted with the incorrect Submission Requirement value in Item A0410, then that record must be manually deleted and, in some cases, a new record with a corrected A0410 value submitted. Item A0410 cannot be corrected by modification or inactivation. See Chapter 5 of this manual for details.
2. **Inappropriate submission of a test record as a production record.** Removal of a test record from the QIES ASAP database requires manual deletion. Otherwise information for a “bogus” resident will be retained in the database and this resident will appear on some reports to the facility.

3. **Record was submitted for the wrong facility.** If a QIES ASAP record was submitted for an incorrect facility, the record must be removed manually and then a new record for the correct facility must be submitted to the QIES ASAP database. **Manual deletion of the record for the wrong facility** is necessary to ensure that the resident is not associated with that facility and does not appear on reports to that facility.

### X0100: Type of Record

<table>
<thead>
<tr>
<th>X0100: Type of Record</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Entry Code</td>
<td></td>
</tr>
<tr>
<td>1. Add new record</td>
<td>Skip to X0100, Medicare Part A Billing</td>
</tr>
<tr>
<td>2. Modify existing record</td>
<td>Continue to X0150, Type of Provider</td>
</tr>
<tr>
<td>3. Inactivate existing record</td>
<td>Continue to X0150, Type of Provider</td>
</tr>
</tbody>
</table>

#### Coding Instructions for X0100, Type of Record

- **Code 1, Add new record:** if this is a **new record** that has not been previously submitted and accepted in the QIES ASAP system. If this item is **coded as 1**, then the remainder of Section X is skipped and the assessor should proceed to Section Z, Assessment Administration.

  If there is an existing database record for the same resident, the same facility, the same reasons for assessment/tracking, and the same date (assessment reference date, entry date, or discharge date), then the current record is a duplicate and not a new record. In this case, the submitted record will be rejected and not accepted in the QIES ASAP system and a “fatal” error will be reported to the facility on the Final Validation Report.

- **Code 2, Modify existing record:** if this is a **request to modify** the MDS items for a record that already has been submitted and accepted in the QIES ASAP system.

  If this item is **coded as 2**, then the remaining items in Section X and the items in all other MDS sections must be completed.

  When a modification request is submitted, the QIES ASAP System will take the following steps:

  1. The system will attempt to locate the existing record in the QIES ASAP database for this facility with the resident, reasons for assessment/tracking, and date (assessment reference date, entry date, or discharge date) indicated in subsequent Section X items.
  2. If the existing record is not found, the submitted modification record will be rejected and not accepted in the QIES ASAP system. A “fatal” error will be reported to the facility on the Final Validation Report.
  3. If the existing record is found, then the items in all sections of the submitted modification record will be edited. If there are any fatal errors, the modification record will be rejected and not accepted in the QIES ASAP system. The “fatal” error(s) will be reported to the facility on the Final Validation Report.
X0100: Type of Record (cont.)

4. If the modification record passes all the edits, it will replace the prior record being modified in the QIES ASAP database. The prior record will be moved to a history file in the QIES ASAP database.

- **Code 3, Inactivate existing record:** if this is a *request to inactivate* a record that already has been submitted and accepted in the QIES ASAP system.

  If this item is **coded as 3**, then the remaining items in Section X must be completed and all other MDS sections are skipped.

  When an inactivation request is submitted, the QIES ASAP system will take the following steps:

1. The system will attempt to locate the existing record in the QIES ASAP system for this facility with the resident, reasons for assessment/tracking, and date (assessment reference date, entry date, or discharge date) indicated in subsequent Section X items.
2. If the existing record is not found in the QIES ASAP database, the submitted inactivation request will be rejected and a “fatal” error will be reported to the facility on the Final Validation Report.
3. All items in Section X of the submitted record will be edited. If there are any fatal errors, the current inactivation request will be rejected and no record will be inactivated in the QIES ASAP system.
4. If the existing record is found, it will be removed from the active records in the QIES ASAP database and moved to a history file.

**Identification of Record to be Modified/Inactivated**

The Section X items from X0200 through X0700 identify the existing QIES ASAP database assessment or tracking record that is in error. In this section, reproduce the information **EXACTLY** as it appeared on the existing erroneous record, even if the information is incorrect. This information is necessary to locate the existing record in the database.

**Example:** A MDS assessment for Joan L. Smith is submitted and accepted by the QIES ASAP system. A data entry error is then identified on the previously submitted and accepted record. When the encoder “data entered” the prior assessment for Joan L Smith, he typed “John” by mistake. To correct this data entry error, the facility will modify the erroneous record and complete the items in Section X including items under **Identification of Record to be Modified/Inactivated**. When completing X0200A, the Resident First Name, “John” will be entered in this item. This will permit the MDS system to locate the previously submitted assessment that is being corrected. If the correct name “Joan” were entered, the QIES ASAP system would not locate the prior assessment.

The correction to the name from “John” to “Joan” will be made by recording “Joan” in the “normal” A0500A, Resident First Name in the modification record. The modification record must include all items appropriate for that assessment, not just the corrected name. This modification record will then be submitted and accepted to the QIES ASAP system which causes the desired correction to be made.
X0150: Type of Provider

**Coding Instructions for X0150, Type of Provider**

This item contains the type of provider identified from the prior erroneous record to be modified/inactivated.

- **Code 1, Nursing home (SNF/NF):** if the facility is a Nursing home (SNF/NF).
- **Code 2, Swing Bed:** if the facility is a Swing Bed facility.

X0200: Name of Resident

These items contain the resident’s name from the prior erroneous record to be modified/inactivated.

**Coding Instructions for X0200A, First Name**

- Enter the first name of the resident exactly as submitted for item A0500A “Legal Name of Resident—First Name” on the prior erroneous record to be modified/inactivated. Start entry with the leftmost box. If the first name was left blank on the prior record, leave X0200A blank.
- Note that the first name in X0200A does not have to match the current value of A0500A on a modification request. The entries may be different if the modification is correcting the first name.

**Coding Instructions for X0200C, Last Name**

- Enter the last name of the resident exactly as submitted for item A0500C “Legal Name of Resident— Last Name” on the prior erroneous record to be modified/inactivated. Start entry with the leftmost box. The last name in X0200C cannot be blank.
- Note that the last name in X0200C does not have to match the current value of A0500C on a modification request. The entries may be different if the modification is correcting the last name.
X0300: Gender

<table>
<thead>
<tr>
<th>X0300</th>
<th>Gender on existing record to be modified/inactivated</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1. Male</td>
</tr>
<tr>
<td></td>
<td>2. Female</td>
</tr>
</tbody>
</table>

**Coding Instructions for X0300, Gender**

- Enter the gender code 1 “Male,” 2 “Female,” or – (dash value indicating unable to determine) exactly as submitted for item A0800 “Gender” on the prior erroneous record to be modified/inactivated.
- Note that the gender in X0300 does not have to match the current value of A0800 on a modification request. The entries may be different if the modification is correcting the gender.

X0400: Birth Date

<table>
<thead>
<tr>
<th>X0400</th>
<th>Birth Date on existing record to be modified/inactivated</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Month - Day - Year</td>
</tr>
</tbody>
</table>

**Coding Instructions for X0400, Birth Date**

- Fill in the boxes with the birth date exactly as submitted for item A0900 “Birth Date” on the prior erroneous record to be modified/inactivated. If the month or day contains only a single digit, fill in the first box with a 0. For example, January 2, 1918, should be entered as:

```
0 1 0 2 1 9 1 8
```

If the birth date in MDS item A0900 on the prior record was a partial date, with day of the month unknown and the day of the month boxes were left blank, then the day of the month boxes must be blank in X0400. If the birth date in MDS item A0900 on the prior record was a partial date with both month and day of the month unknown and the month and day of the month boxes were left blank, then the month and day of the month boxes must be blank in X0400.

- Note that the birth date in X0400 does not have to match the current value of A0900 on a modification request. The entries may be different if the modification is correcting the birth date.
X0500: Social Security Number

Coding Instructions for X0500, Social Security Number

- Fill in the boxes with the Social Security number exactly as submitted for item A0600 “Social Security and Medicare numbers" on the prior erroneous record to be modified/inactivated. If the Social Security number was unknown or unavailable and left blank on the prior record, leave X0500 blank.
- Note that the Social Security number in X0500 does not have to match the current value of A0600 on a modification request. The entries may be different if the modification is correcting the Social Security number.

X0600: Type of Assessment/Tracking

These items contain the reasons for assessment/tracking from the prior erroneous record to be modified/inactivated.
X0600: Type of Assessment/Tracking (cont.)

Coding Instructions for X0600A, Federal OBRA Reason for Assessment

- Fill in the boxes with the Federal OBRA reason for assessment/tracking code exactly as submitted for item A0310A “Federal OBRA Reason for Assessment” on the prior erroneous record to be modified/inactivated.
- Note that the Federal OBRA reason for assessment/tracking code in X0600A does not have to match the current value of A0310A on a modification request. The entries may be different if the modification is correcting the Federal OBRA reason for assessment/tracking code.

Coding Instructions for X0600B, PPS Assessment

- Fill in the boxes with the PPS assessment type code exactly as submitted for item A0310B “PPS Assessment” on the prior erroneous record to be modified/inactivated.
- Note that the PPS assessment code in X0600B does not have to match the current value of A0310B on a modification request. The entries may be different if the modification is correcting the PPS assessment code.

Coding Instructions for X0600C, PPS Other Medicare Required Assessment—OMRA

- Fill in the boxes with the PPS OMRA code exactly as submitted for item A0310C “PPS—OMRA” on the prior erroneous record to be modified/inactivated.
- Note that the PPS OMRA code in X0600C does not have to match the current value of A0310C on a modification request. The entries may be different if the modification is correcting the PPS OMRA code.

Coding Instructions for X0600D, Is this a Swing Bed clinical change assessment? (Complete only if X0150=2)

- Enter the code exactly as submitted for item A0310D “Is this a Swing Bed clinical change assessment?” on the prior erroneous record to be modified/inactivated.
- Code 0, no: if the assessment submitted was not coded as a swing bed clinical change assessment.
- Code 1, yes: if the assessment submitted was coded as a swing bed clinical change assessment.
- Note that the code in X0600D does not have to match the current value of A0310D on a modification request. The entries may be different if the modification is correcting the Swing Bed clinical change assessment code.
X0600: Type of Assessment/Tracking (cont.)

Coding Instructions for X0600F, Entry/discharge reporting

- Enter the number corresponding to the entry/discharge code exactly as submitted for item A0310F “Entry/discharge reporting” on the prior erroneous record to be modified/inactivated.

  01. Entry record
  10. Discharge assessment-return not anticipated
  11. Discharge assessment-return anticipated
  12. Death in facility record
  99. Not entry/discharge

- Note that the Entry/discharge code in X0600F does not have to match the current value of A0310F on a modification request. The entries may be different if the modification is correcting the Entry/discharge reason for completing the assessment.

X0700: Date on Existing Record to Be Modified/Inactivated – Complete one only

The item that is completed in this section is the event date for the prior erroneous record to be modified/inactivated. The event date is the assessment reference date for an assessment record, the discharge date for a discharge record, or the entry date for an entry record. In the QIES ASAP system, this date is often referred to as the “target date.” Enter only one (1) date in X0700.

<table>
<thead>
<tr>
<th>X0700. Date on existing record to be modified/inactivated - Complete one only</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>A. Assessment Reference Date - Complete only if X0600F = 99</strong></td>
</tr>
<tr>
<td>Month</td>
</tr>
<tr>
<td><strong>B. Discharge Date - Complete only if X0600F = 10, 11, or 12</strong></td>
</tr>
<tr>
<td>Month</td>
</tr>
<tr>
<td><strong>C. Entry Date - Complete only if X0600F = 01</strong></td>
</tr>
<tr>
<td>Month</td>
</tr>
</tbody>
</table>

Coding Instructions for X0700A, Assessment Reference Date—Complete Only if X0600F = 99

- If the prior erroneous record to be modified/inactivated is an OBRA assessment or a PPS assessment, where X0600F = 99, enter the assessment reference date here exactly as submitted in item A2300 “Assessment Reference Date” on the prior record.

- Note that the assessment reference date in X0700A does not have to match the current value of A2300 on a modification request. The entries may be different if the modification is correcting the assessment reference date. The entries may also be different if the type of assessment/tracking record is being changed.
X0700: Date on Existing Record to Be Modified/Inactivated (cont.)

For example, if the incorrect QIES ASAP database record indicates an admission assessment but the record should have been an entry record, then the assessment reference date for the prior record is entered in Item X0700A (Assessment Reference Date). However, the new assessment reference date in A2300 would be blank. The assessment reference date is not active on an entry record. Instead, the entry date would be entered in item A1600.

**Coding Instructions for X0700B, Discharge Date—Complete Only If X0600F = 10, 11, or 12**

- If the prior erroneous record to be modified/inactivated is a discharge record (indicated by X0600F = 10, 11, or 12), enter the discharge date here exactly as submitted for item A2000 “Discharge Date” on the prior record. If the prior erroneous record was a discharge combined with an OBRA or PPS assessment, then that prior record will contain both a completed assessment reference date (A2300) and discharge date (A2000) and these two dates will be identical. If such a record is being modified or inactivated, enter the prior discharge date in X0700B and leave the prior assessment reference date in X0700A blank.
- Note that the discharge date in X0700B does not have to match the current value of A2000 on a modification request. The entries may be different if the modification is correcting the discharge date. The entries may also be different if the type of assessment/tracking record is being changed.

**Coding Instructions for X0700C, Entry Date—Complete Only If X0600F = 01**

- If the prior erroneous record to be modified/inactivated is an entry record (indicated by X0600F = 01), enter the entry date here exactly as submitted for item A1600 “Entry Date [date of admission/reentry into the facility]” on the prior record.
- Note that the entry date in X0700C does not have to match the current value of A1600 on a modification request. The entries may be different if the modification is correcting the entry date. The entries may also be different if the type of assessment/tracking record is being changed.

**X0800: Correction Attestation Section**

The items in this section indicate the number of times the QIES ASAP database record has been corrected, the reason for the current modification/inactivation request, the person attesting to the modification/inactivation request, and the date of the attestation.

This item may be populated automatically by the nursing home’s date entry software, however, if it is not, the nursing home should enter this information.

<table>
<thead>
<tr>
<th>Correction Attestation Section</th>
<th>Complete this section to explain and attest to the modification/inactivation request</th>
</tr>
</thead>
<tbody>
<tr>
<td>X0800, Correction Number</td>
<td>Enter the number of correction requests to modify/inactivate the existing record, including the present one</td>
</tr>
</tbody>
</table>
X0800: Correction Attestation Section (cont.)

Coding Instructions for X0800, Correction Number

- Enter the total number of correction requests to modify/inactivate the QIES ASAP record that is in error. Include the present modification/inactivation request in this number.
- For the first correction request (modification/inactivation) for an MDS record, code a value of 01 (zero-one); for the second correction request, code a value of 02 (zero-two); etc. With each succeeding request, X0800 is incremented by one. For values between one and nine, a leading zero should be used in the first box. For example, enter “01” into the two boxes for X0800.
- This item identifies the total number of correction requests following the original assessment or tracking record, including the present request. Note that Item X0800 is used to track successive correction requests in the QIES ASAP database.

X0900: Reasons for Modification

The items in this section indicate the possible reasons for the modification request of the record in the QIES ASAP database. Check all that apply. These items should only be completed when X0100 = 2, indicating a modification request. If X0100 = 3, indicating an inactivation request, these items should be skipped.

<table>
<thead>
<tr>
<th>X0900. Reasons for Modification - Complete only if Type of Record is to modify a record in error (X0100 = 2)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Check all that apply</td>
</tr>
<tr>
<td>A. Transcription error</td>
</tr>
<tr>
<td>B. Data entry error</td>
</tr>
<tr>
<td>C. Software product error</td>
</tr>
<tr>
<td>D. Item coding error</td>
</tr>
<tr>
<td>E. Other error requiring modification</td>
</tr>
<tr>
<td>If &quot;Other&quot; checked, please specify</td>
</tr>
</tbody>
</table>

Coding Instructions for X0900A, Transcription Error

- Check the box if any errors in the prior QIES ASAP record were caused by data transcription errors.
- A transcription error includes any error made recording MDS assessment or tracking form information from other sources. An example is transposing the digits for the resident’s weight (e.g., recording “191” rather than the correct weight of “119” that appears in the medical record).

Coding Instructions for X0900B, Data Entry Error

- Check the box if any errors in the prior QIES ASAP record were caused by data entry errors.
- A data entry error includes any error made while encoding MDS assessment or tracking form information into the facility's computer system. An example is an error where the response to the individual minutes of physical therapy O0400C1 is incorrectly encoded as “3000” minutes rather than the correct number of “0030” minutes.
X0900: Reasons for Modification (cont.)

Coding Instructions for X0900C, Software Product Error

- Check the box if any errors in the prior QIES ASAP record were caused by software product errors.
- A software product error includes any error created by the encoding software, such as storing an item in the wrong format (e.g., storing weight as “020” instead of “200”).

Coding Instructions for X0900D, Item Coding Error

- Check the box if any errors in the prior QIES ASAP record were caused by item coding errors.
- An item coding error includes any error made coding an MDS item, such as choosing an incorrect code for the Activities of Daily Living (ADL) bed mobility self-performance item G0110A1 (e.g., choosing a code of “4” for a resident who requires limited assistance and should be coded as “2”). Item coding errors may result when an assessor makes an incorrect judgment or misunderstands the RAI coding instructions.

Coding Instructions for X0900Z, Other Error Requiring Modification

- Check the box if any errors in the prior QIES ASAP record were caused by other types of errors not included in Items X0900A through X0900D.
- Such an error includes any other type of error that causes a QIES ASAP record to require modification under the Correction Policy. An example would be when a record is prematurely submitted prior to final completion of editing and review. Facility staff should describe the “other error” in the space provided with the item.

X1050: Reasons for Inactivation

The items in this section indicate the possible reasons for the inactivation request. Check all that apply. These items should only be completed when X0100 = 3, indicating an inactivation request. If X0100 = 2, indicating a modification request, these items should be skipped.

<table>
<thead>
<tr>
<th>X1050, Reasons for Inactivation</th>
<th>Complete only if Type of Record is to inactivate a record in error (X0100 = 3)</th>
</tr>
</thead>
<tbody>
<tr>
<td>[ ] A. Event did not occur</td>
<td></td>
</tr>
<tr>
<td>[ ] Z. Other error requiring inactivation If “Other” checked, please specify:</td>
<td></td>
</tr>
</tbody>
</table>

Coding Instructions for X1050A, Event Did Not Occur

- Check the box if the prior QIES ASAP record does not represent an event that actually occurred.
- An example would be a discharge record submitted for a resident, but there was no actual discharge. There was no event.
X1050: Reasons for Inactivation (cont.)

**Coding Instructions for X1050Z, Other Reason Requiring Inactivation**

- Check the box if any errors in the prior QIES ASAP record were caused by other types of errors not included in Item X1050A.
- Facility staff should describe the “other error” in the space provided with the item.

X1100: RN Assessment Coordinator Attestation of Completion

The items in this section identify the RN coordinator attesting to the correction request and the date of the attestation.

<table>
<thead>
<tr>
<th>X1100. RN Assessment Coordinator Attestation of Completion</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Attesting individual’s first name:</td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td>B. Attesting individual’s last name:</td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td>C. Attesting individual’s title:</td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td>D. Signature</td>
</tr>
<tr>
<td></td>
</tr>
<tr>
<td>E. Attestation date</td>
</tr>
<tr>
<td>Month  Day  Year</td>
</tr>
</tbody>
</table>

**Coding Instructions for X1100A, Attesting Individual’s First Name**

- Enter the first name of the facility staff member attesting to the completion and accuracy of the corrected information. Start entry with the leftmost box.

**Coding Instructions for X1100B, Attesting Individual’s Last Name**

- Enter the last name of the facility staff member attesting to the completion and accuracy of the corrected information. Start entry with the leftmost box.

**Coding Instructions for X1100C, Attesting Individual’s Title**

- Enter the title of the facility staff member attesting to the completion and accuracy of the corrected information on the line provided.

**Coding Instructions for X1100D, Signature**

- The attesting individual must sign the correction request here, certifying the completion and accuracy. The entire correction request should be completed and signed within 14 days of detecting an error in an QIES ASAP record. The correction request, including the signature of the attesting facility staff, must be kept with the modified or inactivated MDS record and retained in the resident’s medical record or electronic record.
X1100: RN Assessment Coordinator Attestation of Completion (cont.)

Coding Instructions for X1100E, Attestation Date

- Enter the date the attesting facility staff member attested to the completion and accuracy of the corrected information.
- Do not leave any boxes blank. For a one-digit month or day, place a zero in the first box. For example, January 2, 2011, should be entered as:

```
0 1 0 2 2 0 1 1
```