### Entrance Conference
(Commission Copy)

#### INFORMATION TO PROVIDE IMMEDIATELY UPON ENTRANCE

1. Facility must complete the Resident Census Sheet (Worksheet #1) Identify residents by the following, Name, Room number and Unit, and mark if the resident has any of the following issues within the last 90 days (Restraints, Falls with major injury, Pressure Ulcers, Urinary Catheter, UTI Antipsychotic Meds, Ext Assist of 2, Skilled or LTC). Note residents on the list who are not currently in the facility (e.g. in the hospital, home visit, etc.) please include how many residents receive Medicare, Medicaid and Other supplemental insurance.

2. Provide computer access if indicated to surveyors (this includes passwords, a brief tutorial on how to navigate the EMR and MDS data, and laptops/COWS for surveyor usage). This needs to occur in a timely manner. **Not being able to provide computer access in a timely manner to the onsite surveyors is considered hindering the survey process and could/will result in a regulatory citation.**

3. Provide a copy of the facility floor plan.

4. Provide a copy of the facility transfer records for the last 90 days (must include resident name, disposition, return date to facility and currently reside there and whether resident is Skilled or LTC.

5. Identification of a Wound Care Nurse (and if he/she is available during survey process), wound team, wound care facility, etc. Who coordinates wound care in the facility? How is wound care tracked?

6. Identification of whom in the facility is responsible for staffing and if they are available to provide information and questions during the survey process.

#### INFORMATION TO PROVIDE WITHIN ONE (1) HOUR OF ENTRANCE CONFERENCE

7. A list of key personnel and their location and extensions.

8. Computer access

9. All facility Policies and Procedures related to Resident Assessment Instrument (RAI), including the MDS.

10. All facility Policies and Procedures related to Staffing and scheduling.

#### INFORMATION TO PROVIDE WITHIN TWENTY FOUR (24) HOURS OF ENTRANCE CONFERENCE

11. Completed Medicare Medicaid application (Form CMS 671)

#### UPON REQUEST

12. Make staff members and other policies and procedures available to surveyors.

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**COMMUNICATION THROUGHOUT THE SURVEY**

Ongoing communication occurs throughout the nursing home survey between the survey team and the facility staff. During the survey, the survey team will be communicating with staff throughout the survey, and staff will have opportunities to clarify issues when brought to their attention. However, surveyors are not to release information about ongoing concerns until their investigation is completed.
MDS-Focused Survey
Tip Sheet
March 20, 2015
AHCA Workgroup comprised of members of Clinical Practice and Survey/Regulatory Committees

This tip sheet is not meant to be a comprehensive guide for preparing for an MDS-focused survey. Rather, based on review of some of the tags cited during the MDS-focused survey pilot in 2014, the tips are reminders of important practices that centers need to ensure are present to meet regulatory requirements. Following the list of tips is a listing of the tags that were cited in many of the 25 nursing centers that were included in the pilot.

Also, attached is a copy of an Entrance Conference document provided by the survey agency and given to a nursing center that was part of a test group of centers prior to the actual expansion of the pilot. Following the list of tips, there are a few comments related to the Entrance Conference document.

Four Tips to Consider:

1. Implement a system to ensure MDS assessments are completed and submitted timely, consistent with regular required assessment schedules (e.g., admission, quarterly, annually) and those required due to a significant change of condition (either improvement or decline). An effective system is particularly important when there is turnover of the MDS Coordinator or Assessment Coordinator.

2. Know the scope of practice for an LPN/LVN in your state and ensure appropriate supervision is provided and reflected in documentation. Monitor LPN/LVN notes in the medical records to ensure accurate words are used (e.g., LPNs/LVNs are not “assessing” the resident’s condition).

3. An accurate MDS assessment requires collecting information from multiple sources. Implement a system to ensure documentation about a resident is accurate and consistent in all places including ADL records, care plan, interdisciplinary notes, assessments, physician orders, etc.

4. Ensure the Care Area Assessment (CAA) process is effectively used to provide a link between the MDS and care planning and involves the resident, family and other representatives as appropriate.

Examples of F-Tags cited during MDS focused survey pilot:

F157 – failure to provide transfer/discharge notification
F273 - not assessing timely

F274 - not updating when significant change in condition

F275 – not conducting annual assessment timely

F276 – not conducting quarterly assessment timely

F278 – accurate coding for skin conditions and for antipsychotic medications; accurately reflect resident’s status

F280 – failure to include resident in care planning

F281 – (professional standards) – scope of practice and functions of LPN/LVN

F282 – qualified individuals

F287 – encoding/transmitting data timely

F323 – failure to provide equipment to assist with fall prevention

F315 – timely evaluation for removal of catheter

F329 – failure to monitor for psychotropic medication effectiveness

F520 – failing to monitor accuracy of MDS assessments; failing to identify issues with respect to meeting requirements for timely completion failing to develop and implement a plan of action to correct identified non-compliance

**Comments: Entrance Conference Document**

1. Note Item #5: Identification of a Wound Care Nurse (and if he/she is available during survey process), wound team, wound care facility, etc. Who coordinates wound care in the facility? How is wound care tracked?
2. Note item #6: Identification of whom in the facility is responsible for staffing and if they are available to provide information and questions during the survey process.
   a. There are no Federal requirements for having a policy and procedure for staffing, but there are requirements that a center has certain designated positions (i.e., DON, Administrator). Review each section of the regulation relative to minimal requirements. Also, there is a requirement relative to posting the total number
of actual hours worked for registered nurses, LPNs and CNAs as well as posting the resident census. Also, be sure to check any state requirements.

4. Note item #11: Completed Medicare Medicaid application (Form CMS 671).
   a. This must be provided to surveyors within 24 hours of entrance conference.
   b. Be certain the individual completing the Form 671 understands how to accurately complete the Form – including how to report staff hours worked in the designated time period. Read the instructions on the form carefully to capture direct staff as defined by CMS which sometimes is different than how a center may designate direct care nursing staff.