Hawaii

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**Licensure Term**
Assisted Living Facilities

**Opening Statement**
The Department of Health, Office of Health Care Assurance (OHCA), licenses assisted living facilities. Assisted living facility regulations have been in effect since August 1999.

**Legislative and Regulatory Update**
There are no finalized legislative or regulatory updates that affect assisted living in Hawaii. However, a committee comprised of assisted living Administrators with representation from the Healthcare Association of Hawaii conducted a comprehensive review of the current Title 11 Chapter 90 Assisted Living Facilities regulations and have submitted a proposed revision to those rules to the Division Chief of the Office of Health Care Assurance. Title 11 Chapter 90.1 is currently in draft form as of July, 2019.

**Definition**
An assisted living facility consists of a building complex offering dwelling units to individuals and services to allow residents to maintain an independent assisted living lifestyle.

**Disclosure Items**
None specified. However, guidelines have been developed through a work group comprised of providers and the department and have been in use since 2001. The proposed amendments to the Chapter rules will address disclosure.

**Facility Scope of Care**
The facility must provide: 24-hour on-site direct care staff to meet the needs of the residents; three meals daily, seven days a week, including modified diets and snacks which have been evaluated and approved by a dietician on a semi-annual basis and are appropriate to the residents’ needs and choices; laundry services to the extent that the resident is unable to perform these tasks for him or herself; opportunities for individual and group socialization; services to assist the resident in performing all activities of daily living, including bathing, eating, dressing, personal hygiene, grooming, toileting, and ambulation; nursing assessment, health monitoring, and routine nursing tasks, including those which may be delegated to unlicensed assistive personnel by a currently licensed registered nurse under the provisions of the [Hawaii] Board or Nursing; and
household services essential for the health and comfort of the resident (e.g. floor cleaning, dusting, bed making, etc.).

The facility must also have the capability to provide or arrange access to the following services: transportation for medical and social appointments; ancillary services for medically related care (e.g., physician, pharmacist, therapy, podiatry, etc.), barber or beauty care services, social or recreational opportunities, and other services necessary to support the resident; services for residents who have behavior problems requiring ongoing staff support, intervention, and supervision; social work services; and maintenance of a personal fund account for residents showing deposits and withdrawals.

Third Party Scope of Care
The facility may arrange access to ancillary services for medically related care (e.g., physician, podiatrist) and social work services.

Admission and Retention Policy
There are no specific limitations on the admission of residents unless otherwise indicated by restrictions placed through the County Building Department review and/or as determined by the ability of the facility to meet the resident's needs. A resident must receive a written 14-day notice of discharge if his or her behavior imposes an imminent danger to him/herself or others, if the facility cannot meet the resident's needs for services with available support services, services are not available, or the resident or responsible person has a documented established pattern in the facility of not abiding by agreements necessary for assisted living. Residents shall receive a written notice when the facility has had its license revoked, not renewed, or voluntarily surrendered or for nonpayment of charges by the resident. Guidelines have been developed through a work group of providers and the department, and have been in use since 2001. The proposed amendments to the Chapter rules will address these requirements.

Resident Assessment
There is no specific resident assessment form required. However, the facility staff must conduct a comprehensive assessment of each resident's needs, plan and implement responsive services, maintain and update resident records as needed, and periodically update the plan. The plan should reflect the assessed needs of the resident and resident choices and should include the resident's level of involvement; support principles of dignity, privacy, choice, individuality, independence, and home-like environment; and should include significant others who participate in the delivery of services. The plan should additionally include a written description of what services will be provided, who will provide the services, when the services will be provided, how often services will be provided, and the expected outcome. Guidelines have been developed by a work
group comprised of providers and the department, and have been in use since 2001. The proposed amendments to the Chapter rules will address these requirements.

**Medication Management**
The facility must have medication management policies related to self-medication and the administration of medication. Facilities may provide assistance with self-administration of medications and unlicensed assistive personnel may provide this assistance as delegated by a registered nurse (RN) under state administrative rules Title 16, Chapter 89 Nurses and the National Council of State Boards of Nurses Inc. (NCSBN) Nursing Model Act. Residents who self-medicate with prescription drugs or maintain over-the-counter drugs in their units must have all their medications reviewed by either a registered pharmacist, RN, or physician at least every 90 days. Medications administered by the facility must be reviewed at least once every 90 days by an RN or physician, and in compliance with applicable state law and administrative rules.

**Square Feet Requirements**
Facilities must provide each resident with an apartment unit with the following: a bathroom, refrigerator, and cooking capacity, including a sink; a unit that is a minimum of 220 square feet, not including the bathroom.

**Residents Allowed Per Room**
None specified.

**Bathroom Requirements**
Each resident unit shall have a separate bathroom with a sink, shower, and toilet.

**Life Safety**
Facilities must meet requirements set forth by state and county building occupancy and fire codes, as per the International Building Code and the National Fire Protection Association, respectively. The level of compliance for fire rating is determined by both the number of residents occupying a facility and whether residents are ambulatory, self preserving, or wheelchair bound. All counties are currently adopting International Building Code standards, and county fire authorities are reviewing their respective fire codes in an effort to be consistent.

**Unit and Staffing Requirements for Serving Persons with Dementia**
None specified.

**Staffing Requirements**
Facilities must employ direct care staff and an administrator who is accountable for providing training for all facility staff in the provision of services and principles of assisted living. There are no required staffing ratios. All staff must be in compliance with current department tuberculosis clearance procedures. All staff must be
trained in cardiopulmonary resuscitation and first-aid. Licensed nursing staff must be available seven days a week to meet the care management and monitoring needs of the residents. Facilities must make arrangements for an RN to conduct resident assessments and to train and supervise staff.

**Administrator Education/Training**

The administrator or director must have at least two years of experience in a management capacity in the housing, health care services, or personal care industries. The completion of an assisted living facility administrator’s course or course equivalent is required.

**Staff Education/Training**

All facility staff must complete orientation on the philosophy, organization, practice, and goals of assisted living. Additionally, a minimum of six hours annually of regularly scheduled in-service training is required, and all staff must be trained in CPR and first aid.

Beginning July 1, 2017, licensed registered nurses and licensed practical nurses must complete continuing competency requirements as defined by the Hawaii State Board of Nursing prior to the renewal of their license.

**Entity Approving CE Program**

The Hawaii State Department of Commerce and Consumer Affairs, Board of Nursing approves whether the criteria for the continuing competency requirements have been met; they do not approve nursing contact hours/CEs.

**Medicaid Policy and Reimbursement**

Hawaii has a Medicaid Home and Community Based Services waiver program through the Hawaii 1115 Demonstration Waiver Program called QUEST Integration. This is a managed care program that provides opportunity for those assisted living facilities that have entered into an agreement with Hawaii’s Department of Human Services to be reimbursed for services provided to a Medicaid eligible resident.

**Citations**

Hawaii Administrative Rules, Title 11, Chapter 90: Assisted Living Facility. [1999]

Hawaii Administrative Rules, Title 16, Chapter 89: Nurses

Hawaii Administrative Rules, Title 11, Chapter 103: Licensure and Certification Fees for Health Care Facilities and Agencies

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